

Lecture 20

Fraunhofer Diffraction - Transforms

Fraunhofer Diffraction

$$\begin{aligned} U_P &= \frac{-ikU_0}{2\pi z z'} e^{ik|PS|} \int_A \exp\left(\frac{ik}{2z_a} [(x_0 - x_m)^2 + (y_0 - y_m)^2]\right) d\xi \\ &= \frac{-ikU_0}{2\pi z z'} e^{ik|PS|} \exp\left(\frac{ik}{2z_a} [x_m^2 + y_m^2]\right) \\ &\quad \cdot \int_A \exp\left(\frac{ik}{z_a} [x_0 x_m + y_0 y_m]\right) dx_0 dy_0 \end{aligned}$$

- This is effectively saying that BOTH the source and the observation point are “far” from the aperture

Fraunhofer Diffraction

- If we now generalise this by replacing the plane wave from the source (U_0/z') by a general distribution across the aperture $U(x_0, y_0)$
- Recognise that in a paraxial approximation a long way from the aperture it is the ANGLES that matter, not the positions
 - $u = kx_m/z_a$, $v = ky_m/z_a$

$$\begin{aligned}
 U_P &= \frac{-ikU_0}{2\pi z z'} e^{ik|PS|} \exp\left(\frac{ik}{2z_a} [x_m^2 + y_m^2]\right) \\
 &\cdot \int_A \exp\left(\frac{ik}{z_a} [(x_0 x_m + y_0 y_m)]\right) dx_0 dy_0 \\
 &= \text{MOC\&FF} \int_A U_0(x_0, y_0) \exp(-i[ux_0 + vy_0]) dx_0 dy_0
 \end{aligned}$$

- In case you don't recognise it - the final expression is a 2-

D Fourier Transform relation!

Fraunhofer Diffraction

$$U_P = \frac{-ik}{2\pi z} e^{ik|PS|} \exp\left(\frac{iz_a}{2k} [u^2 + v^2]\right) \cdot \int_A U_0(x_0, y_0) \exp(-i[ux_0 + vy_0]) dx_0 dy_0$$

- relates x_0, y_0 space to u, v space
- e.g. for a square aperture and a plane wave -

$$U_P = \frac{-ik}{2\pi z} \exp\left(\frac{iz}{2k} [u^2 + v^2]\right) U_a \ell_x \ell_y \text{sinc}\left(\frac{\ell_x u}{2\pi}\right) \text{sinc}\left(\frac{\ell_y v}{2\pi}\right)$$

- the intensity is the square of this function
- For a circular function it is almost the same except that it's a Bessel function, not a sinc function

Fraunhofer Diffraction

$$U_P = \frac{-ik}{2\pi z} e^{ik|PS|} \exp\left(\frac{iz_a}{2k} [u^2 + v^2]\right) \cdot \int_A U_0(x_0, y_0) \exp(-i[ux_0 + vy_0]) dx_0 dy_0$$

- If this is so then we have a powerful technique
 - Applies for large distances
 - Applies for paraxial approximation
 - Maybe could relieve those with thought
 - BUT any $U_0(x_0, y_0)$ can be used
 - We have computers - Have FFT - will compute!

Slits and Gratings

- Consider a slit of dimension a, b at origin in x_0, y_0 plane with light incident at angle θ
 - Wavefront is $\exp(ikx_0 \sin\theta) \text{rect}(x_0/a) \text{rect}(y_0/b)$
 - Also called the “aperture function”
- Now consider a whole set of slits (a grating)
 - use a convolution
 - Wavefront is
$$\exp(ikx_0 \sin\theta) \text{rect}(x_0/a) \text{rect}(y_0/b) * \text{comb}(x_0/\Lambda)$$
- But that's infinite - need it finite
 - $\exp(ikx_0 \sin\theta) \text{rect}(x_0/a) \text{rect}(y_0/b) * [\text{comb}(x_0/\Lambda) \text{rect}(x_0/(n\Lambda))]$
- For the angular distribution - just need the Fourier Transform
- Assume b infinite

Slits and Gratings

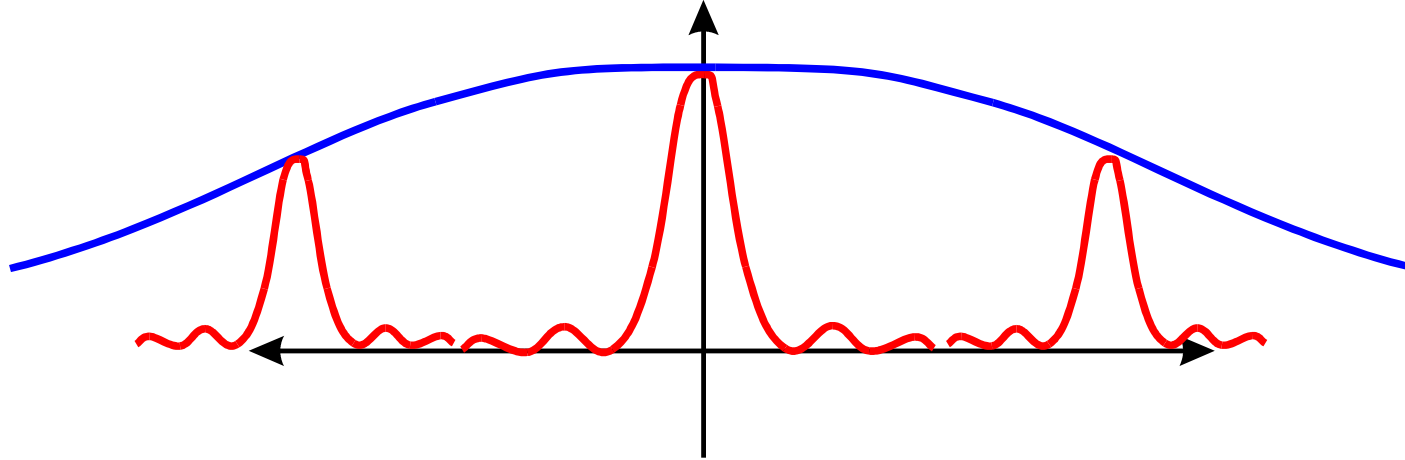
- $\mathcal{F}\{\exp(ikx_0 \sin\theta) \text{rect}(x_0/a) * [\text{comb}(x_0/\Lambda) \text{rect}(x_0/(n\Lambda))]\}$
- $\mathcal{F}\{\exp(ikx_0 \sin\theta) \text{rect}(x_0/a) \mathcal{F}\{[\text{comb}(x_0/\Lambda) \text{rect}(x_0/(n\Lambda))]\}\}$
- Use shift property + “six transforms” on first term
- $|a| \text{sinc}(a[u + k \sin\theta]/2) \mathcal{F}\{[\text{comb}(x_0/\Lambda) \text{rect}(x_0/(n\Lambda))]\}$
- Second term is a finite sum of δ function

$$\mathcal{F}\left\{\sum_{n=-(N-1)/2}^{(N-1)/2} \delta(x_0 - n\Lambda)\right\} = \sum_{n=-(N-1)/2}^{(N-1)/2} \exp(-inu\Lambda)$$

- and the total result becomes

$$|a| \text{sinc}\left(\pi[\sin\theta + \sin\theta_i] a/\lambda\right) \frac{\sin\left(\frac{\pi N\Lambda}{\lambda} [\sin\theta + \sin\theta_i]\right)}{\sin\left(\frac{\pi\Lambda}{\lambda} [\sin\theta + \sin\theta_i]\right)}$$

Grating Resolving Power



- Peaks are from sin ratio (squared for intensity)
- Envelope is from the sinc function
- For normal incidence close to axis $\theta_i = 0$ then width of peak is of order $(\pi N \Lambda / \lambda) \cos \theta \delta \theta = \pi$
- Maxima occur at $(\pi \Lambda / \lambda) \sin \theta = m \pi$
 - $\Lambda \cos \theta \delta \theta = m \delta \lambda$
- combining these $\lambda / \delta \lambda = m N$
 - at 500 slits/mm and 50mm grating
 - resolving power is 2.5×10^4 if $m = 1$

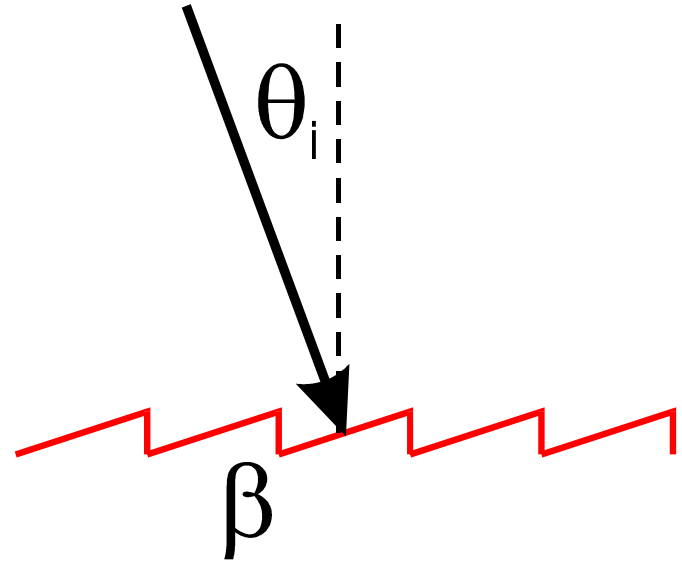
Grating Energy Split

$$|a| \operatorname{sinc}(\pi [\sin\theta + \sin\theta_i] a/\lambda) \frac{\sin\left(\frac{\pi N \Lambda}{\lambda} [\sin\theta + \sin\theta_i]\right)}{\sin\left(\frac{\pi \Lambda}{\lambda} [\sin\theta + \sin\theta_i]\right)}$$

- This is the product of the FT of
 - the slit (broad)
 - the repetitive structure (narrow)
- The slit peaks the energy in the forward direction $m=0$ where there is no dispersion (resolving power)!!! - bad idea!!
- Playing with the slit might permit us to move the peak energy from straight on (or straight back for reflection) to another order

Grating Energy Split

- Suppose we use a (reflection) grating for which the slit “height” is $h = h_0 x_0 \tan \beta$ - ie a sawtooth
- Phase delay is composed of two parts
 - phase delay of “tilted” plane wave - $kx_0 \sin \theta_i$
 - phase delay due to sawtooth - $h \cos \theta_i$
- Total phase delay is the sum of these and therefore result is



Grating Energy Split

$$\text{sinc} \left(\pi [\sin\theta + \sin\theta_i + \tan\beta \cos\theta_i] \Lambda/\lambda \right) \frac{\sin \left(\frac{\pi N \Lambda}{\lambda} [\sin\theta + \sin\theta_i] \right)}{\sin \left(\frac{\pi \Lambda}{\lambda} [\sin\theta + \sin\theta_i] \right)}$$

- Notice that if $\beta = 0$ - grating disappears!!
- if $m\lambda/\Lambda + \sin\theta_i + \cos\theta_i \tan\beta = 0$ the power peaks in order m

A Lens as an Aperture

- If we compute the phase delay across a lens we can make it an aperture function!
- Take a plane before the lens and a plane after
- Can be shown that in the paraxial approximation the thickness of the material is...

$$\Delta(x_0, y_0) = \Delta_0 - \frac{x_0^2 + y_0^2}{2} \left[\frac{1}{R_1} - \frac{1}{R_2} \right]$$

- and the phase delay is given by

$$\varphi = kn\Delta_0 - \frac{k}{2f} \left[x_0^2 + y_0^2 \right]$$

A Lens as an Aperture

- Take the “pupil function” (ie physical extent of the lens) as $P(x_0, y_0)$ - almost always a cylinder function
- Take the incoming wavefront as $t(x_0, y_0)$ - e.g. plane wave
- Take the phase manipulation of the lens
- Take the z dimension to be the focal length - f
- Ignore phase factors and quadratic terms

$$\iint_{-\infty}^{+\infty} P(x_0, y_0) t(x_0, y_0) \exp\left(-\frac{2\pi i}{f\lambda} [x_0 x + y_0 y]\right) dx_0 dy_0$$

- Its a Fourier transform again!!
- Focal plane image is FT of aperture function in front of lens

A Lens as an Aperture

$$\iint_{-\infty}^{+\infty} P(x_0, y_0) t(x_0, y_0) \exp\left(-\frac{2\pi i}{f\lambda} [x_0 x + y_0 y]\right) dx_0 dy_0$$

- if input wave is plane and P is a cylinder function $\text{cyl}(r/R)$
- Image plane is an airy function of size $2 (1.22\lambda f/(2R))$
- Or using $f/(2R)$ as the *f-number* - $2.44\lambda f^*$
- So if $\lambda = 500\text{nm}$ and f^* of order 1 image size is of order $1\mu\text{m}$
- Generally $f^* > 1$ so spot size is $> 1\mu\text{m}$

A Lens as an Aperture

- In the formula above
 - low spatial frequencies in incoming wave turn up near centre
 - high spatial frequencies turn up away from the centre
- By putting an aperture at the focal point we can “clean up” a mucky plane wave - only allow low frequency components through

